

37. The method of claim 36, wherein the image data comprises electronic-mail data transmitted via the Internet.

38. The method of claim 36, wherein the image data comprises facsimile image data transmitted via a Public Switched Telephone Network (PSTN).

39. The method of claim 34, further comprising having the applet determine whether a request for the reception notification is valid.

40. The method of claim 39, further comprising having the applet transmit the reception notification to the terminal when the request for the reception notification is determined to be valid.

41. The method of claim 35, further comprising having the applet determine whether a request for the reception notification is valid.

42. The method of claim 41, further comprising having the applet transmit the reception notification to the terminal when the request for the reception notification is determined to be valid.---

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### REMARKS

Re-examination and allowance of the above-captioned application is respectfully requested.

Applicant respectfully traverses the various 35 U.S.C. §103 rejections set forth by the Examiner. According to a feature of the instant invention, when a terminal 3, such as, but not limited to, for example, a computer running a browser, sends data to a

communication apparatus 1, such as, but not limited to, for example, a multi-function system, a HTML file including an applet is forwarded by the communication apparatus 1 to the terminal 3. The applet is executed by the terminal 3 to request a reception notification from the communication apparatus 1. Thus, the communication apparatus 1 directly transmits the receipt notification (e.g., confirmation of receipt of the data) to the terminal 3. Applicant submits that at least this feature is lacking from the prior art applied by the Examiner.

The Examiner acknowledges that U.S. Patent 5,892,909 (GRASSO et al.) fails to disclose or suggest the transmission of a reception notification in response to a request issued by the applet that is executed by the terminal, said applet being sent to the terminal in the form of a HTML file with the applet embedded therein, but asserts that this lacking feature is taught by U.S. Patent 6,438,600 (GREENFIELD et al.). Applicant submits that such an assertion is erroneous.

Applicant submits that GREENFIELD fails to disclose or even suggest that an HTML file including an applet therein is transferred from a first system (e.g., communication apparatus 1) to a second system (e.g., terminal 3), and that thereafter, the applet executes to perform requests (e.g., request for reception notification) of the first system. In GREENFIELD, applets are loaded from a codebase associated with the browser (see, for example, column 3, lines 57-61). Thus, Applicant submits that even if one attempted to combine the various references in the manner suggested by the

Examiner, such a combination would fail to include the feature of transmitting a HTML file including the applet to a terminal, as is performed by the current invention. Accordingly, Applicant submits the present invention is not obvious in view of the prior art combination set forth by the Examiner, and respectfully requests that the 35 U.S.C. §103 rejections be withdrawn and the application passed to issue.

Applicant further submits that the feature of transmitting a reception notification to the terminal upon reception of the data in response to a request for the reception notification issued by the applet is also not disclosed or suggested by the various applied references, either singularly or in combination. Accordingly, Applicant submits that an additional ground exists for concluding that the instant invention, as defined by the claims, is allowable over the art of record.

Furthermore, Applicant submits that the dependent claims are allowable for at least the same reason(s) discussed above, and further, because they recite additional features that are neither disclosed or suggested by the applied art of record. For example, dependent claims 23, 32 and 39 recite that a determination is made as to whether the request for the reception notification is valid; dependent claims 26, 33 and 35 recite the closing of the connection in accordance with an end request issued by the applet. Applicant submits that these features are neither disclosed or suggested by the art of record. Accordingly, Applicants submit that additional grounds exist for concluding that the dependent claims are allowable. Such an indication is respectfully

requested.

### SUMMARY AND CONCLUSION

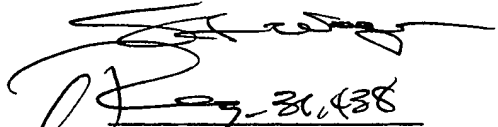
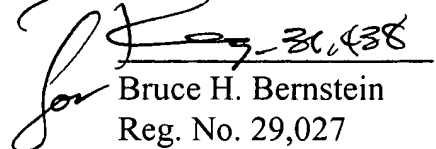
In view of the fact that none of the art of record, whether considered alone or in combination, discloses or suggests the present invention as now defined by the pending claims, and in further view of the above amendments and remarks, reconsideration of the Examiner's action and allowance of the present application are respectfully requested and are believed to be appropriate.

Should the Commissioner determine that an extension of time is required in order to render this response timely and/or complete, a formal request for an extension of time, under 37 C.F.R. §1.136(a), is herewith made in an amount equal to the time period required to render this response timely and/or complete. The Commissioner is authorized to charge any required extension of time fee under 37 C.F.R. §1.17 to Deposit Account No. 19-0089.

If there should be any questions concerning this application, the Examiner is invited to contact the undersigned at the telephone number listed below.

January 21, 2003  
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